

## SILP Experimentation Fund: Eligibility and Assessment Criteria

This document outlines the eligibility and assessment criteria that will be used to select grants for the SILP Experimentation Fund.

### Eligibility

Eligibility criteria are used to ensure proposals submitted under the call meet mandatory requirements. Eligibility criteria are typically pass/fail where proposals must pass on all criteria, otherwise they will not proceed to the next stage of the process and be rejected from the call outright. The pass/fail eligibility criteria for this call are:

#### Stage 1:

#	Eligibility criterion	How demonstrated	How assessed
1	Applicant(s) should be a legal entity, able to hold rights and obligations to EIT Climate-KIC, via a signed Grant Agreement.	Declaration that applicant(s) are legal entities with a registration certificate (containing company registration number), to be provided when requested.	Pass/fail
2	Lead applicant must be based in one of the <a href="#">DAC List of ODA Recipient countries</a> .	Declaration that applicant(s) are legal entities established in an eligible country, to be confirmed through relevant documentation in the due diligence stage.	Pass/fail
3	Experiment must take place in one of the <a href="#">DAC List of ODA Recipient countries</a> .	Ideation form; question relating to country/ies in which activities will take place.	Pass/fail

#### Stage 2:

#	Eligibility criterion	How demonstrated	How assessed
1	Applicant(s) should be a legal entity, able to hold rights and obligations to EIT Climate-KIC, via a signed Grant Agreement.	<b>Due diligence:</b> Submission of a company/entity registration certificate, containing company registration number.	Pass/fail
2	Lead applicant must be based in one of the <a href="#">DAC List of ODA Recipient countries</a> .	<b>Due diligence:</b> establishing the country registration of the legal entity leading the experiment.	Pass/fail
3	Experiment must take place in one of the <a href="#">DAC List of ODA Recipient countries</a> .	Application form.	Pass/fail
4	Experiment maximum duration of 12 months (reporting inclusive), between April 2023 and April 2024.	Experiment timeline and key milestones detailed in the application.	Pass/fail
5	Maximum grant request (up to 100,000 EUR)	Grant request and detailed budget submission in application.	Pass/fail

Proposals must comply to the full set of the eligibility criteria. Proposals that fail the eligibility criteria will not be considered further in the process and applicants will be informed of the outcome. Due to the large volume of proposals and the nature of the criteria applied, EIT Climate-KIC is not able to accept modification to proposals that fail to meet eligibility criteria. Applicants are advised to pay careful attention to these criteria to avoid errors at this stage.

## Eligible Countries

The list of ODA countries, and therefore locations in which projects and their lead applicants should be based in order to be eligible for the Fund, are:

Afghanistan, Albania, Algeria, Angola, Argentina, Armenia, Azerbaijan, Bangladesh, Belarus, Benin, Bhutan, Bolivia, Bosnia Herzegovina, Botswana, Brazil, Burkina Faso, Burundi, Cabo Verde, Cambodia, Cameroon, Central African Republic (CAR), Chad, (People's Republic of) China, Colombia, Comoros, Costa Rica, Côte d'Ivoire, Democratic Republic of Congo, Djibouti, Dominica Republic, Ecuador, Egypt, El Salvador, Equatorial Guinea, Eritrea, Eswatini, Ethiopia, Fiji, Futuna, Gabon, Gambia, Georgia, Ghana, Grenada, Grenadines, Guatemala, Guinea, Guinea-Bissau, Guyana, Haiti, Honduras, India, Indonesia, Iran, Iraq, Jamaica, Jordan, Kazakhstan, Kenya, Kiribati, Korea, Kosovo, Kyrgyzstan, Lao People's Democratic Republic, Lebanon, Lesotho, Liberia, Libya, Macedonia, Malawi, Malaysia, Mali, Marshall Islands, Mauritania, Mauritius, Mexico, Micronesia, Moldova, Montenegro, Montserrat, Morocco, Mozambique, Myanmar, Namibia, Nepal, Nicaragua, Niger, Nigeria, Pakistan, Panama, Papua New Guinea, Paraguay, Peru, Philippines, Rwanda, Saint Helena, Saint Vincent and the Grenadines, Samoa, Sao, Senegal, Serbia, Sierra Leone, Solomon, Somalia, South Africa, South Sudan, Sri Lanka, Sudan, Suriname, Syria, Tajikistan, Tanzania, Thailand, Timor-Leste, Togo, Tokelau, Tome, Tonga, Tunisia, Turkey, Turkmenistan, Tuvalu, Uganda, Ukraine, Uzbekistan, Vanuatu, Venezuela, Wallis and Futuna, West Bank and Gaza Strip, Yemen, Zambia and Zimbabwe.

## Due Diligence

Upon notification of selection, prospective grantees will be required to undertake a due diligence exercise, prior to any grant award being issued.

EIT Climate-KIC's due diligence process covers the following risk domains:

- **Financial/economic:** e.g., liquidity/solvency, operational risk, fraud/bribery/corruption, financial crime, sanctions, etc.
- **Operational:** e.g., prior performance, technical and professional capacity, funder compliance, etc.
- **Legal:** crime, breach of tax or social security obligations, litigation, etc.
- **Ethical:** e.g., child labour, modern slavery, terrorist offences, etc.

Proponents should be prepared to submit some or all of the following documentation during this period; failure to comply with these requirements may result in the withdrawal of any offer of grant funding following the appropriate assessment and selection process:

- company/entity registration certificate, containing company registration number;
- vat certificate;
- details of entity bank account, and bank statements;
- (where applicable) list of Board members;
- CVs of key employees who will undertake to deliver activities supported by the grant;
- any Annual Reports, donor and/or performance reports for similar projects, donor recommendation(s);
- Finance and/or operational policies;
- financial statement for previous year;
- organisational insurance documents, such as public liability, professional indemnity.

Any subsequent grant allocation and award letter will be contingent upon satisfying this process.

## Assessment Process

The assessment process for the SILP Experimentation Platform will take a two-stage approach:

### Stage 1: Experiment Ideation (inclusive of eligibility review)

- **Idea assessment:** Ideas will be assessed against the following criteria by a group of 'Community Grant Makers', who will shortlist ideas to be invited to develop and submit a grant application.

### Stage 2: Experiment (Co-)Design: Invitation to submit a grant application

- **Cohort portfolio composition and selection\*:** applications will be further assessed by the 'Community Grant Makers' who will then come together to compose a cohort of experiments to be funded by the SILP Experimentation Fund.

## Assessment criteria

The following assessment criteria shall be applied at both Stage 1 and Stage 2, as described above.

Assessment in Stage 1 will inform which submitted ideas are invited to develop and submit a grant application to Stage 2.

Assessment in Stage 2 will inform the composition of the first SILP Experimentation Fund cohort. The portfolio composition process is a contextual, strategic decision-making process that prioritises novelty and diversity of systems innovation experiment/learning question; novelty and diversity of geographic and context; and diversity of actors and stakeholders involved.

Criterion	Description	Questions to ask yourself
<b>Alignment to SILP Experimentation Fund objectives</b>	<ul style="list-style-type: none"> <li>• Alignment to the <b>focus and objectives of the Call</b></li> <li>• Alignment to SILP <b>principles and values</b></li> </ul>	<ul style="list-style-type: none"> <li>• What complex issue in the world/in your local context does your work aim to address?</li> <li>• How would your experiment test out a new practice, methodology, approach, to working systemically in an applied context?</li> <li>• Does your idea align with <a href="#">SILP vision</a>, Experimentation Fund call (see <a href="#">call for ideas</a>), and Sida perspectives (see FAQ page 5-6)?</li> </ul>
<b>System definition and understanding:</b> <i>The actors and the factors</i>	<ul style="list-style-type: none"> <li>• <b>System definition:</b> Its characteristics, components, boundaries, and which key factors define its dynamics.</li> <li>• <b>Understanding the system:</b> who are the key actors and what are their main barriers/ resistance to change.</li> </ul>	<ul style="list-style-type: none"> <li>• What is the system(s) you're looking to intervene in? How have you gone about defining it? Which are the key factors that define its dynamics?</li> <li>• What is the system(s)' function, behaviour, and structure?</li> <li>• Who are the key actors in the system? What is the status of the relationships between these actors?</li> <li>• What are existing power relations that will restrict you from achieving the systemic change you envisioned?</li> <li>• What are the existing mindsets that restrict you to see the change you envision?</li> <li>• What are the potential challenges or barriers to positive change in the system?</li> </ul>
<b>Implementation and embedded/ applied in context</b>	<ul style="list-style-type: none"> <li>• <b>Embeddedness in context:</b> How the experiment is to be applied and embedded in the local context.</li> <li>• <b>Capacity to implement:</b> How the applicants will ensure to have the capacity and capabilities to implement the proposed experiment, and what plans there are to address any lack thereof.</li> </ul>	<ul style="list-style-type: none"> <li>• Where will your experiment be implemented, and with whom? How close are you to the actors/system?</li> <li>• How well is the local context and perspective embedded in the experiment?</li> <li>• What gaps/needs do you have in being able to succeed, and how could this be addressed with the help of the microgrant in the period before submitting a full proposal and grant application?</li> </ul>
<b>(Systemic/ systems intervention) Learning opportunity</b>	<ul style="list-style-type: none"> <li>• <b>Learning opportunity:</b> What the experiment intends to help the applicant learn, explore, test.</li> <li>• <b>Relevance of experiment to working systemically:</b> what contribution the experiment could make to knowledge and practice in systems innovation / change.</li> </ul>	<ul style="list-style-type: none"> <li>• What is your learning question or hypothesis?</li> <li>• How is your experiment – and learning hypothesis / question – related to the challenges or barriers you have identified?</li> <li>• What could this help enable in your work, and the work of practitioners looking to intervene in, and change, systems?</li> <li>• What kind of power change might help you achieve the systemic change you envisioned?</li> <li>• What new mindsets are needed to help you create the change you are envisioning?</li> <li>• How can learnings from your exploration / experiment contribute to the common understanding of how to innovate systems?</li> <li>• What have you, or others, done previously, and what was the outcome?</li> </ul>